

Exercises for 'Functional Analysis 2' [MATH-404]

(26/05/2025)

Ex 13.1 (Equivalent statements of Brouwer's fixed point theorem*)

Let $\overline{B}_1 := \overline{B}_1(0) \subset \mathbb{R}^n$ be the closed unit ball. Show that the following claims are equivalent :

- 1) Every continuous map $f : \overline{B}_1 \rightarrow \overline{B}_1$ has a fixed point.
- 2) There exists no continuous map $R : \overline{B}_1 \rightarrow \partial B_1$ such that $R(x) = x$ for all $x \in \partial B_1$.
- 3) Every continuous function $v : \overline{B}_1 \rightarrow \mathbb{R}^n$ such that $\langle v(x), x \rangle \leq 0$ for all $x \in \partial B_1$ has a zero in $\overline{B}_1(0)$.

Hint: The implication $2) \implies 1)$ has been proven in the course. Prove $1) \implies 3)$ and $3) \implies 2)$.

Ex 13.2 [Not examinable] (An alternative extension construction)

Let $K \subset \mathbb{R}^n$ be a nonempty, compact and convex set and $f : K \rightarrow K$ be continuous. In the lecture we constructed a continuous extension $\tilde{f} : \mathbb{R}^n \rightarrow K$. In this exercise we review a different construction, proposed by a student to Matthias Ruf during the break of the online lectures in 2021.

- a) Show that for $x \in \mathbb{R}^n$ there exists a unique point $k(x) \in K$ such that $|x - k(x)| = \inf_{k \in K} |x - k|$.

Hint: For the uniqueness, use that you can equivalently minimize the function $|x - k|^2$ with respect to $k \in K$ and that this function is strictly convex.

- b) Show that the map $k : \mathbb{R}^n \rightarrow K, x \mapsto k(x)$ is continuous.

Hint: Consider $x_j \rightarrow x$. Show that any converging subsequence of $k(x_j)$ converges to a minimizer of $k \mapsto |x - k|$, using the minimality of $k(x_j)$.

- c) Show that the map $\tilde{f}(x) = f(k(x))$ for $x \in \mathbb{R}^n$ defines a continuous extension of f to \mathbb{R}^n such that $\tilde{f}(\mathbb{R}^n) \subset K$. Can you replace compactness of K by a weaker assumption?

Ex 13.3 (Counterexample to Brouwer's fixed point theorem in infinite dimensions)

Let ℓ^2 be the Banach space of square-summable, real-valued sequences, i.e., $x = (x_i)_{i \in \mathbb{N}} \in \ell^2$ if and only if $x_i \in \mathbb{R}$ and $\|x\|_2^2 := \sum_{i \geq 1} x_i^2 < +\infty$. Set $D = \{x \in \ell^2 : \|x\|_2 \leq 1\}$ and define $f : D \rightarrow \ell^2$ by

$$f(x) = (\sqrt{1 - \|x\|_2^2}, x_1, x_2, x_3, \dots).$$

Show that $f(D) \subset D$, f is continuous, but has no fixed point.

Ex 13.4 (Properties of the subdifferential)

Let $E : H \rightarrow \mathbb{R}_+ \cup \{\infty\}$ be a convex and lower semicontinuous functional on a real Hilbert space H . We view its (possibly empty, possibly multivalued) subdifferential as a map $\partial^- E : H \rightarrow 2^H$. Here 2^H denotes the power set of H .

- a) Show $\partial^- E$ is a monotone operator. That is, for every $x, y \in H$, every $x^* \in \partial^- E(x)$, and every $y^* \in \partial^- E(y)$,

$$\langle y^* - x^*, y - x \rangle \geq 0.$$

- b) Show the following strong-weak closedness property of the graph of $\partial^- E$. Assume $(x_n)_{n \in \mathbb{N}}$ is a sequence in H which converges to $x \in H$. Moreover, let $(x_n^*)_{n \in \mathbb{N}}$ be a sequence of elements $x_n^* \in \partial^- E(x_n)$ weakly converging to $x^* \in H$. Then $x^* \in \partial^- E(x)$.