

## Exercises for 'Functional Analysis 2' [MATH-404]

(26/05/2025)

### Ex 13.1 (Equivalent statements of Brouwer's fixed point theorem\*)

Let  $\overline{B}_1 := \overline{B}_1(0) \subset \mathbb{R}^n$  be the closed unit ball. Show that the following claims are equivalent :

- 1) Every continuous map  $f : \overline{B}_1 \rightarrow \overline{B}_1$  has a fixed point.
- 2) There exists no continuous map  $R : \overline{B}_1 \rightarrow \partial B_1$  such that  $R(x) = x$  for all  $x \in \partial B_1$ .
- 3) Every continuous function  $v : \overline{B}_1 \rightarrow \mathbb{R}^n$  such that  $\langle v(x), x \rangle \leq 0$  for all  $x \in \partial B_1$  has a zero in  $\overline{B}_1(0)$ .

**Hint:** The implication 2)  $\implies$  1) has been proven in the course. Prove 1)  $\implies$  3) and 3)  $\implies$  2).

### Ex 13.2 [Not examinable] (An alternative extension construction)

Let  $K \subset \mathbb{R}^n$  be a nonempty, compact and convex set and  $f : K \rightarrow K$  be continuous. In the lecture we constructed a continuous extension  $\tilde{f} : \mathbb{R}^n \rightarrow K$ . In this exercise we review a different construction, proposed by a student to Matthias Ruf during the break of the online lectures in 2021.

- a) Show that for  $x \in \mathbb{R}^n$  there exists a unique point  $k(x) \in K$  such that  $|x - k(x)| = \inf_{k \in K} |x - k|$ .

**Hint:** For the uniqueness, use that you can equivalently minimize the function  $|x - k|^2$  with respect to  $k \in K$  and that this function is strictly convex.

- b) Show that the map  $k : \mathbb{R}^n \rightarrow K$ ,  $x \mapsto k(x)$  is continuous.

**Hint:** Consider  $x_j \rightarrow x$ . Show that any converging subsequence of  $k(x_j)$  converges to a minimizer of  $k \mapsto |x - k|$ , using the minimality of  $k(x_j)$ .

- c) Show that the map  $\tilde{f}(x) = f(k(x))$  for  $x \in \mathbb{R}^n$  defines a continuous extension of  $f$  to  $\mathbb{R}^n$  such that  $\tilde{f}(\mathbb{R}^n) \subset K$ . Can you replace compactness of  $K$  by a weaker assumption ?

### Ex 13.3 (Counterexample to Brouwer's fixed point theorem in infinite dimensions)

Let  $\ell^2$  be the Banach space of square-summable, real-valued sequences, i.e.,  $x = (x_i)_{i \in \mathbb{N}} \in \ell^2$  if and only if  $x_i \in \mathbb{R}$  and  $\|x\|_2^2 := \sum_{i \geq 1} x_i^2 < +\infty$ . Set  $D = \{x \in \ell^2 : \|x\|_2 \leq 1\}$  and define  $f : D \rightarrow \ell^2$  by

$$f(x) = (\sqrt{1 - \|x\|_2^2}, x_1, x_2, x_3, \dots).$$

Show that  $f(D) \subset D$ ,  $f$  is continuous, but has no fixed point.

### Ex 13.4 (Properties of the subdifferential)

Let  $E : H \rightarrow \mathbb{R}_+ \cup \{\infty\}$  be a convex and lower semicontinuous functional on a real Hilbert space  $H$ . We view its (possibly empty, possibly multivalued) subdifferential as a map  $\partial^- E : H \rightarrow 2^H$ . Here  $2^H$  denotes the power set of  $H$ .

- a) Show  $\partial^- E$  is a monotone operator. That is, for every  $x, y \in H$ , every  $x^* \in \partial^- E(x)$ , and every  $y^* \in \partial^- E(y)$ ,

$$\langle y^* - x^*, y - x \rangle \geq 0.$$

- b) Show the following strong-weak closedness property of the graph of  $\partial^- E$ . Assume  $(x_n)_{n \in \mathbb{N}}$  is a sequence in  $H$  which converges to  $x \in H$ . Moreover, let  $(x_n^*)_{n \in \mathbb{N}}$  be a sequence of elements  $x_n^* \in \partial^- E(x_n)$  weakly converging to  $x^* \in H$ . Then  $x^* \in \partial^- E(x)$ .